

03-07-01

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1c962 U.S. PTO  
03/06/01



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PTO/SB/05

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	064798.0002
	First Named Inventor or Application identifier	Tarun Bandyopadhyay
ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, DC 20231	Title	A PROCESS FOR MAKING RARE EARTH DOPED OPTICAL FIBER
	Express Mail No.	EL424492257

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Specification [Total Pages: 24]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 2]
4. ☐ Oath or Declaration [Total Pages: ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)  
[Note No. 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if No. 4b is  
checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under No. 4b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure  
Statement (IDS)/PTO-1449  
\_\_\_ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (Itemized)
14. ☐ Small Entity Statement(s)  
\_\_\_ Statement filed in prior application. Status still  
proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

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17. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

☐ Continuation ☐ of prior application No.: \_\_\_\_ / \_\_\_\_  
☐ Divisional ☐  
☐ Continuation-in-part (CIP) ☐

**18. Correspondence Address**

☐ Customer Number or Bar Code Label ☐ or ☒ Correspondence address below  
 01200  
 (Insert Customer No. or Attach bar code label here)

Name	Attn: Charles W. Hanor AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.				
Address	P.O. Box 12870				
City	San Antonio	State	Texas	Zip Code	78212
Country	U.S.A.	Telephone	(210) 281-7000	Fax	(210) 224-2035

Date:

3/6/01

Charles W. Hanor, Reg. No. 27,132

**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.  
Small Entity payments must be supported by a small  
entity statement, otherwise large entity fees must be  
paid. See Forms PTO/SB/09-12.  
37 CFR §§ 1.27 & 1.28

**Complete if Known**

<i>Application Number</i>	Not Yet Assigned
<i>Filing Date</i>	March 6, 2001
<i>First Named Inventor</i>	Tarun Bandyopadhyay
<i>Examiner Name</i>	Not Yet Assigned
<i>Group / Art Unit</i>	Not Yet Assigned
<i>Attorney Docket No.</i>	064798.0002

Total Amount of Payment \$ 971.00

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account No.: 01-0477  
Deposit Account Name:  
Akin, Gump, Strauss, Hauer & Feld, L.L.P.
- ☒ Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17
- ☐ Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance
2. ☒ Payment Enclosed: ☒ Check  
☐ Money Order  
☐ Other

**FEE CALCULATION****1. Basic Filing Fee**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$710	\$355	Utility Filing Fee	\$ 710.00
\$310	\$155	Design Filing Fee	\$
\$480	\$240	Plant Filing Fee	\$
\$760	\$380	Reissue Filing Fee	\$
\$150	\$ 75	Provisional Filing Fee	\$
<b>Subtotal (1)</b>			<b>\$ 710.00</b>

**2. Extra Claim Fees**

Claims	Extra	Fee (below)	Fee Paid
Total <u>49</u> - 20** =	29	x \$ 9	\$ 261.00
Indep. <u>3</u> - 3** =		x \$	\$
Multiple Dependent			\$

\*\*or number previously paid, if greater.

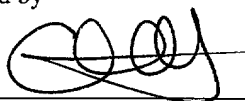
For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
\$ 18	\$ 9	Claims in excess of 20
\$ 78	\$ 39	Independent claims in excess of 3
\$ 260	\$ 130	Multiple dependent claim, if not paid
\$ 78	\$ 39	**Reissue independent claims over original patent
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent
<b>Subtotal (2)</b>		<b>\$ 261.00</b>

**FEE CALCULATION (continued)****3. Additional Fees**

Large Entity	Small Entity	Fee Description	Fee Paid
\$ 130	\$ 65	Surcharge - late fee or oath	\$
\$ 50	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
\$2,520	\$2,520	Request for Reexamination	\$
\$ 110	\$ 55	Extension for reply within first month	\$
\$ 380	\$ 190	Extension for reply within second month	\$
\$ 870	\$ 435	Extension for reply within third month	\$
\$1,360	\$ 680	Extension for reply within fourth month	\$
\$1,850	\$ 925	Extension for reply within fifth month	\$
\$ 300	\$ 150	Notice of Appeal	\$
\$ 300	\$ 150	Filing a brief in support of an appeal	\$
\$ 260	\$ 130	Request for oral hearing	\$
\$ 110	\$ 55	Petition to revive - unavoidable	\$
\$1,210	\$ 605	Petition to revive - unintentional	\$
\$1,210	\$ 605	Utility issue fee (or reissue)	\$
\$ 430	\$ 215	Design issue fee	\$
\$ 580	\$ 290	Plant issue fee	\$
\$ 130	\$ 130	Petitions to the Commissioner	\$
\$ 50	\$ 50	Petitions related to provisional applications	\$
\$ 240	\$ 240	Submission of Information Disclosure Statement	\$
\$ 760	\$ 380	Filing a submission after final rejection (37 CFR 1.129(a))	\$
Other fee (specify):			\$
Other fee (specify):			\$
<b>Subtotal (3)</b>			<b>\$ 0.00</b>

Submitted by



Charles W. Hanor, Reg. No. 27,132

Express Mail No. EL424492257

Date: 3/6/01